Appalachian Regional Commission
Preliminary Grant Application

For DLG Use Only	If a Project involves Water or Sewer Activities	SAI Number (Clearinghouse #)
2026	WRIS Number	

PROJECT TITLE:
PROJECT ADDRESS/LOCATION:

APPLICANT AUTHORIZED INDIVIDUAL (Applicant Org. Type: ☐Gov ☐SPGE ☐Non-Profit ☐Other

Name		Agency and Title	Telephone Number	E-mail address	
Street or P. O. Box		City	County	State KY	ZIP Code
UEI #		Ky State Vendor #			

APPLICANT'S SUBRECIPIENT (if applicable)

Name		Agency and Title	Telephone Number	E-mail address	
Street or P. O. Box		City	County	State KY	ZIP Code
UEI #		Ky State Vendor #	Certified Administrator Yes ☐ No ☐		

CONTACT PERSON

Name	Firm/Agency	Telephone Number	E-mail address		
Street or P. O. Box		City	County	State KY	ZIP Code

LIST OF COUNTIES TO BE SERVED AND ARC STATUS OF EACH COUNTY

# Households Served	# Businesses Served	Project Period From: To:

Congressional District	Area Development District(s)

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BRIEF DESCRIPTION OF PROJECT

PROJECT BENEFITS (Attach a separate sheet if necessary)

ARC STRATEGIC GOAL(S) ADDRESSED

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FINANCING

Include **all** funding amounts and sources. **Please complete all appropriate columns and <u>specify whether funds are Approved, Pending, or currently under Negotiation.</u>**

Source	Planning & Operations	Construction & Renovation	Equipment	Total	Percent	Committed Y or N
ARC						
State						
Local						
In-Kind						
Other Federal Funds:						
Other Funds:						
TOTAL						

For ALL Projects, if available, please attach a copy of the Resolution adopted by the community's governing body authorizing the submission of the ARC Pre-application for this Project.

For Non-Construction Projects, please attach a detailed budget.
Link to ARC budget form: https://www.arc.gov/resource/budget-narrative-template/

For Construction Projects, if available, please provide a Preliminary Engineers Report or Architectural Report with your pre-application.
> NOTE: Submission of a Preliminary Engineers Report or an Architectural Report is NOT required at this stage of the application process. However, submission of any such reports that have already been procured may be helpful to the review process.

Federal Basic Agency to Administer the ARC grant, if selected (list Agency and Status of Application):

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APPLICATION CERTIFICATION

   I **certify** to the best of my knowledge and belief that the information provided herein is **true, complete, and accurate. I am aware that the provisions of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences** including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Section 3729-3730 and 3801-3812 (applies to all tiers of subrecipients). I also agree to comply with requirements of 24 CFR Part 58.

   I am aware that the proposed project may be removed from further consideration should it be determined that there are significant discrepancies in the information provided, and/or false, inaccurate or incomplete information has been given.

NAME AND SIGNATURE OF AUTHORIZED REPRESENTATIVE.

Signature, Authorized Representative	Title

Name Typed	Date

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INSTRUCTIONS

1. **Project Name and Address.** Provide the official name of the project, its address and location.

2. **Project Sponsor Name and Address.** Provide the name, address, telephone/fax numbers, and email address of the organization or agency sponsoring the project, if different from the project name and address.

3. **Contact Person.** Provide the name, address, telephone/fax numbers, and email address of the individual to be contacted should questions arise regarding the proposed project or grant pre-application.

4. **Counties Served by Project.** List the counties to be served by the project.

5. **Number of Household Served by the Project.** Give the expected number of clients whom the project will serve. List only the number that will be directly served by the project.

6. **Number of Businesses Served by the Project.** Give the expected number of businesses the project will serve. List only the number that will be directly served by the project

7. **Congressional District(s)**. List the District(s) impacted by the project.

8. **Area Development District(s**). List the Area Development District(s) in which the project is located.

9. **Project Period.** List the proposed beginning and ending dates for the project.

10. **Funding.** Define the total project budget. Break down the budget by funding source and the expected use of the funds (planning and operations, construction, etc.) **and status of availability**.

    Funding Sources:
    *   **ARC**-the requested dollar amount of ARC funds.
    *   **State-**indicate the amount of state government funds (KIA, CDBG, etc.)
    *   **Local-**include any local cash being committed, including fees/charges, loans, etc.
    *   **In-Kind**-provide an estimate of any in-kind funds being committed (donated time, office space, equipment, etc.).
    *   **Other Federal**-(USDA Rural Development, EDA, US Corps of Engineers, etc.) Please list other sources of federal funding, the amount expected, and status of availability.
    *   **Other-**list private funds, etc.
    *   **Total-**include totals and percentages.

11. **Project Description.** Describe the project and what it will accomplish (e.g., the extension of a sewer line to an industrial park to support industrial expansion that will create 50 new jobs or the purchase of specific kinds of equipment by an educational facility to provide job training for 60 people annually). Please provide details relative to how the project will impact the community or region in creation or retention of jobs or by contributing to establishment of sustainable economic development in the project benefit area.

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12. **ARC Strategic Goal Addressed.**   List the ARC Strategic Goal addressed by the project.

   ARC Goals:
   - **GOAL 1: Economic Opportunities –** Invest in entrepreneurial and business development strategies that strengthen Appalachia's economy.
   - **GOAL 2: Ready Workforce** – Increase the education, knowledge, skills, and health of residents to work and succeed in Appalachia.
   - **GOAL 3: Critical Infrastructure –** Invest in critical infrastructure – especially broadband; transportation, including the Appalachian Development Highway System; and water/wastewater systems.
   - **GOAL 4: Natural and Cultural Assets –** Strengthen Appalachia's community and economic development potential by leveraging the Region's natural and cultural heritage assets.
   - **GOAL 5: Leadership and Community Capacity –** Build the capacity and skills of current and next-generation leaders and organizations to innovate, collaborate and advance community and economic development.

13. **Project Benefits.**   Describe project benefits including members served (e.g. water/sewer customers, persons/clients, families, schools, school districts, businesses/industries, housing units produced or the amount of private investment attracted).

14. **Federal Basic Agency to Administer the ARC Grant.** If project includes construction, identify the federal grant-in-aid authority (USDA-RD, CDBG, EDA) under which the project grant is to be awarded and administered. Also, specify whether or not a pre-application or application has already been filed with the identified basic funding agency.

15. **Submission of Pre-Applications**.   Submissions should be made by electronically to DLG.OFG@ky.gov.

   If electronic submission is not possible an original and one copy should be submitted to the Department for Local Government, Office of Federal Grants at the following address. Contact information for assistance with the application process is listed below:

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APPALACHIAN REGIONAL COMMISSION
Department for Local Government
100 Airport Road Drive, Third Floor
Frankfort, KY 40601
Contact: Whitney Mendiondo, 502-892-3034
Email Addresses: whitney.mendiondo@ky.gov

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